### INFORMATION DISCLOSURE STATEMENT COVER SHEET

Attorney Docket Number	1001.009
First Named Inventor	William Keith Fisher
Title	Polymer Sheets and
	Multiple Layer Glass
	Panels Having Adjustable
	Tint
Application Serial Number	N/A
Filing Date	December 17, 2003
Art Unit	N/A
Examiner's Name	N/A

Sir:

The attention of the Examiner is invited to the documents listed on the attached IDS Form equivalent to PTO/SB/08A (04-03). Copies of the listed documents are attached. It is respectfully requested that the information above be expressly considered during the prosecution of this application, and that the reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Because this Information Disclosure Statement is being submitted prior to issuance of the first action on the merits of the above-captioned application, no certification or fee is required.

Respectfully submitted,

Equivalent to USPTO form PTO/SB/08A (04-03)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

	Equivalent to USF 10 total 1 10/3b/08A (04-03)		
C mplete if Kn wn			
Application Number	N/A		
Filing Date	December 17, 2003		
First Named Inventor	William Keith Fisher		
Art Unit	N/A 1773		
Examiner Name	NA D. S. NAKARANI		
Attorney Docket Number	1001.009		

	U.S. PATENT DOCUMENTS				
Examiner Initials	Cite No.	Document Number	Publication Date	Name of Patentee or Applicant	
DsN	Al	2003/0096113 A1	May 22, 2003	Jacobson et al.	
NSU	A2	6,120,839	September 19, 2000	Comiskey et al.	
DSN	A3	6,120,588	September 19, 2000	Jacobson	
DSN	A4	6,045,955	April 4, 2000	Vincent	
DsN	A5	6,333,592 B1	December 25, 2001	Sasa et al.	
DSN	A6	2002/0018890 A1	February 14, 2002	Sugimachi	
NEG	. A7	6,391,462 B1	May 21, 2002	Jang	

FOREIGN PATENT DOCUMENTS				
Examiner Cite No. Document Number Publication Date Name of Pater or Applicant				Name of Patentee or Applicant
	B1	WO 99/10767	March 4, 1999 ·	E-Ink Corp.
	B2	WO 00/20921	April 13, 2000	E-Ink Corp.

Examiner Signature	Donalcarani.	Date Considered	9/27/2005
Digitature		Considered	

	N	ON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Document Information (Include name of author (in CAPS), title of the article, title of the item, date, page(s), volume-issue number(s), publisher, city and/or country where published.	Publication Date
hea	C1	TEMPLETON et al., Solvent Refractive Index and Core Charge Influences on the Surface Plasmon Absorbance of Alkanethiolate Monolayer-Protected Gold Clusters, J. Phys. Chem. 104:564-570 (2000)	2000
USU.	C2	KREIBIG and GENZEL, Optical Absorption of Small Metallic Particles, Sur. Sci., 156:678-700 (1985)	1985
(Ne.C.	C3	LIZ-MARZAN and MULVANEY, The Assembly of Coated Nanocrystals, J. Phys. Chem. B. 107:7312-26 (2003)	2003
DSN	C4	KIM, Tuning the Optical Properties of Large Gold Nanoparticle Arrays, <i>Mat. Res. Soc. Symp. Proc.</i> 676: Y6.1.1-Y6.1.7 (2001))	2001
hed	C5	GIERSIG and MULVANEY, Preparation of Ordered Colloid Monolayers by Electrophoretic Deposition, Langmuir 9:3408-3413 (1993)	1993
NSA	C6	COMISKEY et al., An Electrophoretic Ink for All- printed Reflective Electronic Displays, <i>Nature</i> 394:253-255 (July 16, 1998)	1998

Examiner Signature	Date Considered	912712005	
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#### Brenc Law

8925 Littleton Street Fairfax, VA 22032 Tel – (202) 756-2955 Tel – (703) 426-2853



February 11, 2004

Commissioner for Patents Washington DC 20231

RE: U.S. Serial Number 10/736,695

Filed: December 17, 2003

Title: Polymer Sheets and Multiple Layer Glass Panels Having Adjustable Tint

Inventor: William Keith Fisher Attorney Docket Number: 1001.009

Sir:

The following documents are forwarded herewith for appropriate action by the USPTO:

- 1) A 1 page Information Disclosure Statement cover page.
- 2) A 1 page Information Disclosure Statement (equivalent to Form PTO/SB/08A (04-03)) listing one reference.
  - 3) A copy of the reference listed in the Information Disclosure Statement.
  - 4) A postcard listing the elements of this filing.

Applicants hereby respectfully request the Patent Office to stamp the postcard listing the elements of this filing with the official USPTO date stamp and to return that card to our courier.

The included Information Disclosure Statement is being submitted prior to the receipt of a first office action, and it is believed prior to the mailing of a first office action. Accordingly, no fee is due.

Respectfully submitted,

ndrew S. Brenc (Reg. No. 45,534)

**Enclosures** 

# OIPE FEB 11 2004 CS

### INFORMATION DISCLOSURE STATEMENT COVER SHEET

Attorney Docket Number	1001.009
First Named Inventor	William Keith Fisher
Title	Polymer Sheets and
	Multiple Layer Glass
	Panels Having Adjustable
	Tint
<b>Application Serial Number</b>	10/736,695
Filing Date	December 17, 2003
Art Unit	N/A
Examiner's Name	N/A

Sir:

The attention of the Examiner is invited to the document listed on the attached IDS Form equivalent to PTO/SB/08A (04-03). A copy of the listed document is attached.

It is respectfully requested that the information above be expressly considered during the prosecution of this application, and that the reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Because this Information Disclosure Statement is being submitted prior to issuance of the first action on the merits of the above-captioned application, no certification or fee is required.

Respectfully submitted,

Andrew S. Brenc (Reg. No. 45,534)

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Equivalent to USPTO form PTO/SB/08A (04-03)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known		
Application Number	10/736,695	
Filing Date	December 17, 2003	
First Named Inventor	William Keith Fisher	
Art Unit	N/A 1773	
Examiner Name	NA D.S. NAKARANI	
Attorney Docket Number	1001.009	

	NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Document Information (Include name of author (in CAPS), title of the article, title of the item, date, page(s), volume-issue number(s), publisher, city and/or country where published.	Publication Date
72N	C7	MULVANEY et al., Silica Encapsulation of Quantum Dots and Metal Clusters, J. Mater. Chem. 10:1259-1270 (2000)	2000 APRIL 2000

# BEST AVAILABLE COPY

Signature Considered 9/27/2005
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#### BRENC LAW

8925 Littleton Street Fairfax, VA 22032 Tel - (703) 426-2853 Fax - (703) 426-1903

July 21, 2004

Commissioner for Patents Washington DC 20231

RE: U.S. Serial Number 10/736,695

Filed: December 17, 2003

Title: Polymer Sheets and Multiple Layer Glass Panels Having Adjustable Tint

Inventor: William Keith Fisher Attorney Docket Number: 1001.009

Sir:

The following documents are forwarded herewith for appropriate action by the USPTO:

- 1) A 1 page Information Disclosure Statement cover page.
- 2) A 1 page Information Disclosure Statement (equivalent to Form PTO/SB/08A (04-03)) listing fifteen references.
  - 3) Copies of the references listed in the Information Disclosure Statement.
  - 4) A postcard listing the elements of this filing.

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Respectfully submitted,



### INFORMATION DISCLOSURE STATEMENT COVER SHEET

Attorney Docket Number	1001.009
First Named Inventor	William Keith Fisher
Title	Polymer Sheets and
	Multiple Layer Glass
	Panels Having Adjustable
	Tint
Application Serial Number	10/736,695
Filing Date	December 17, 2003
Art Unit	1772
Examiner's Name	N/A

Sir:

The attention of the Examiner is invited to the documents listed on the attached IDS Form equivalent to PTO/SB/08A (04-03). Copies of the listed documents are attached.

It is respectfully requested that the information above be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Because this Information Disclosure Statement is being submitted prior to issuance of the first action on the merits of the above-captioned application, no certification or fee is required.

Respectfully submitted,

July 21, 2004

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Equivalent to USPTO form PTO/SB/08A (04-03)

INFORMATION
DISCLOSURE
STATEMENT BY
APPLICANT

Complete if Known			
Application Number	10/736,695		
Filing Date	December 17, 2003		
First Named Inventor	William Keith Fisher		
Art Unit	1772 1773		
Examiner Name	MA D.S. NAKARANI		
Attorney Docket Number	1001.009		

	U.S. PATENT DOCUMENTS				
Examiner	Cite No.	Document Number			
Initials				or Applicant	
DSV	A1	4,893,908	January 16, 1990	Wolf et al.	
DSN	A2	5,042,923	August 27, 1991	Wolf et al.	
DSW	A3	5,239,406	August 24, 1993	Lynam	
DSN	A4	5,390,045	February 14, 1995	Bernard, Jr.	
Dev	A5	5,523,877	June 4, 1996	Lynam	
DSN	A6	5,587,828	December 24, 1996	Bernard, Jr.	
DBW.	A7	5,680,245	October 21, 1997	Lynam	
Dev	A8	5,864,419	January 26, 1999	Lynam	
DSN	A9	5,986,797	November 16, 1999	Lynam	
NSA	A10	6,122,093	September 19, 2000	Lynam	
NZA	A11	6,160,655	December 12, 2000	Fix et al.	
DSN	A12	6,391,400	May 21, 2002	Russell et al.	
DEN	A13	6,466,298	October 15, 2002	Fix et al.	
DSN	A14	6,469,685	October 22, 2002	Woodruff et al.	
DSN	A15	6,671,008	December 30, 2003	Li et al.	

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Examiner	Den Icha Assa	Date	912712005
Signature	Donneman.	Considered	11011003





#### BRENC LAW

8925 Littleton Street Fairfax, VA 22032 Tel – (703) 426-2853 Fax – (703) 426-1903

May 9, 2005

Commissioner for Patents Washington DC 20231

RE: U.S. Serial Number 10/736,695

Filed: December 17, 2003

Title: Polymer Sheets and Multiple Layer Glass Panels Having Adjustable Tint

Inventor: William Keith Fisher Attorney Docket Number: 1001.009

Sir:

The following documents are forwarded herewith for appropriate action by the USPTO:

- 1) A 1 page Information Disclosure Statement cover page.
- 2) A 1 page Information Disclosure Statement (equivalent to Form PTO/SB/08A (04-03)) listing four references.
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# INFORMATION DISCLOSURE STATEMENT COVER SHEET

Attorney Docket Number	1001.009
First Named Inventor	William Keith Fisher
Title	Polymer Sheets and
	Multiple Layer Glass
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	Tint
Application Serial Number	10/736,695
Filing Date	December 17, 2003
Art Unit	1772
Examiner's Name	N/A

Sir:

May 9, 2005

The attention of the Examiner is invited to the documents listed on the attached IDS Form equivalent to PTO/SB/08A (04-03). Copies of the listed documents are attached.

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Respectfully submitted.



Equivalent to USPTO form PTO/SB/08A (04-03)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known			
Application Number	10/736,695		
Filing Date	December 17, 2003		
First Named Inventor	William Keith Fisher		
Art Unit	1772 1773		
Examiner Name	AHA D. S. NAKARANI		
Attorney Docket Number	1001.009		

U.S. PATENT DOCUMENTS				
Examiner Initials	Cite No.	Document Number	Publication Date	Name of Patentee or Applicant
DSN	A1	2003/0086149	May 8, 2003	Kawai
DSN	A2	2002/0135860	September 26, 2002	Iwanaga
ASil	A3	5178571	January 12, 1993	Mase

FOREIGN PATENT DOCUMENTS				
Examiner Initials	Cite No.	Document Number	Publication Date	Name of Patentee or Applicant
Dev	B1	WO 03/050607	June 19, 2003	E-Ink Corp.

Examiner Signature	Donat arami.	Date Considered	912712005